

13 September 2019

Our Ref: FOI6714

Freedom of Information: Right to know request

Thank you for your request under the Freedom of Information Act 2000 (the Act) dated 22 August 2019 for the following information.

"How many investigations referred by our supervision department involving investment management does the FCA have open as at 22 June 2019?"

Your request has now been considered.

We can confirm as at 22 June 2019 there were 49 open investigations that involve investment management which were referred to our Enforcement and Market Oversight Division by our Supervision department(s).

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact us within three months of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: www.ico.org.uk

Yours sincerely

Information Disclosure Team/ Cyber & Information Resilience Department



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