

Email: foi@fca.org.uk

16 October 2018

(By email)

Our Ref: FOI6016

Dear

Freedom of Information: Right to know request

Thank you for your request under the Freedom of Information Act 2000 ("the Act") dated 18 September 2018, for the following information:

"[...] how many Private Warnings per year have been issued since January 2014 to date and in turn how many successful applications have there been in that same period to date for approved person status following the issue of a Private Warning."

Your request has now been considered and I can confirm we hold the information you are seeking, as set out in the tables below:

Number of Private Warnings issued since January 2014 to date (per year)	
2014	44
2015	24
2016	5
2017	0
2018 to date	0
Grand Total	73

Number of successful applications for approved person status following the issue of a private warning (per year, based on the approval date)	
2014	4
2015	2
2016	7
2017	3
2018 to date	1
Grand Total	17

Yours sincerely

Information Disclosure Team

[Your right to complain under the FoI Act](#)

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact us within three months of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: www.ico.org.uk