

Email: foi@fca.org.uk

By email

6 November 2018

Our Ref: FOI5952

Dear

Freedom of Information: Right to know request

Thank you for your request under the Freedom of Information Act 2000 (the Act), for the following information:

"In each of the last 24 months, how many applications has the FCA received in relation to the following:

- *New Authorisations*
- *Changes of address, contact details etc*
- *Variations of Permissions*
- *Cancellations*
- *Requests to add or change Appointed Representatives; add or remove approved persons"*

Firstly, please accept my apologies for the delay in responding to you.

Your request has now been considered and I can confirm we hold information falling within scope of your request. Please refer to Annex A for the information requested.

When reviewing this information please be aware that these figures are based on applications logged on an internal FCA system. For E-Money Directive (EMD) firms applications were only logged on this system from November 2017, therefore we do not hold a central record for these applications and we would have to manually review a large amount of information to collate those figures. Further, please also be aware while you have specifically specified certain application types I have included all application types where the Register would be changed or added, as I can see you are interested in where the Register has been changed or amended.

Yours sincerely

Information Disclosure Team

Your right to complain under the FoI Act

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact us within three months of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: www.ico.org.uk

Annex A

See attached