Telephone: 020 7066 8080 Email: foi@fca.org.uk

[By email] 24 May 2018

Our Ref: FOI5749

Dear

# Freedom of Information: Right to know request

Thank you your request under the Freedom of Information Act 2000 (the Act). A copy of your previous request FOI4462 and your current request FOI5749 can be found in the Annex.

Your request has now been considered. I can confirm that we hold the information you have requested. This information can be found in the tables below.

Individuals who currently hold				
both CF11 and			Unable to	
10 Controlled			determine	
Functions			gender	
*( 23/03/2018)	Males	Females	from title	Total
	7468	1062	62	8592

Individuals who currently hold CF11			Unable to determine gender	
*(23/03/2018)	Males	Females	from title	Total
	10193	1668	87	11948

Individuals who			Unable to	
currently hold			determine	
CF10			gender	
*(23/03/2018)	Males	Females	from title	Total
	9804	1543	77	11424

both **SMF17 and SMF16 Functions *( 23/03/2018)	Males	Females	Unable to determine gender from title	Total
	96	44	1	141

Individuals who currently hold **SMF17 *(23/03/2018)	Males	Females	Unable to determine gender from title	Total
	437	255	21	713

Individuals who currently hold **SMF16 *(23/03/2018)	Males	Females	Unable to determine gender from title	Total
	165	81	1	247

Individuals who currently hold both CF11 and 10 Controlled			Unable to determine	
Functions *( 23/03/2017)	Males	Females	gender from title	Total
	7366	984	67	8417

Individuals who currently hold CF11			Unable to determine gender	
*(23/03/2017)	Males	Females	from title	Total
	10,114	1596	97	11807

Individuals who currently hold CF10 *(23/03/2017)	Males	Females	Unable to determine gender from title	Total
	9225	1361	84	10670

Individuals who			Unable to	
currently hold	Males	Females	determine	Total

both **SMF17 and SMF16 Functions *( 23/03/2017)			gender from title	
	95	40	1	136

Individuals who currently hold **SMF17			Unable to determine gender	
*(23/03/2017)	Males	Females	from title	Total
	427	243	25	695

Individuals who currently hold **SMF16 *(23/03/2017)	Males	Females	Unable to determine gender from title	Total
	165	64	2	231

Individuals who currently hold both CF11 and 10 Controlled Functions *(23/03/2016)	Males	Females	Unable to determine gender from title	Total
	2680	1022	38	3740

Individuals who currently hold CF11 *(23/03/2016)	Males	Females	Unable to determine gender from title	Total
	2223	840	31	3094

Individuals who currently hold CF10 *(23/03/2016)	Males	Females	Unable to determine gender from title	Total
	1679	596	21	2296

Individuals who	Males	Females	Unable to	Total
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held both CF11 and 10 on *15/02/2015			determine gender from title	
	2329	941	30	3300

Individuals who held CF11 on			Unable to determine gender	
*15/02/2015	Males	Females	from title	Total
	1901	786	26	2713

Individuals who held CF10 on *15/02/2015	Males	Females	Unable to determine gender from title	Total
	1620	587	14	2221

Individuals who held both CF11			Unable to determine	
and 10 on *15/02/2014	Males	Females	gender from title	Total
	2324	902	25	3251

Individuals who held CF11 on *15/02/2014	Males	Females	Unable to determine gender from title	Total
	1902	756	23	2681

Individuals who held CF10 on *15/02/2014	Males	Females	Unable to determine gender from title	Total
	1612	535	10	2157

Individuals who			Unable to	
held both CF11			determine	
and 10 on			gender	
*15/02/2013	Males	Females	from title	Total

2448   893   27   3368
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Individuals who held CF11 on			Unable to determine gender	
*15/02/2013	Males	Females	from title	Total
	2022	728	23	2773

Individuals who held CF10 on *15/02/2013	Males	Females	Unable to determine gender from title	Total
15/02/2015		1 01110100	11 0111 61616	. oca.
	1688	528	12	2228

<sup>\*</sup>Please note these figures have been taken from a snapshot in time as a person could hold a controlled function on Jan 1st in a given year but not by the end of the year. Therefore, it is not possible to display the figures for a whole year.

\*\*The Senior Managers Regime came into effect on 7 March 2016 and so we have only been able to provide data for SMF16 and SM17 functions for the subsequent years.

# Yours sincerely

### **Information Disclosure Team**

#### Your right to complain under the FoI Act

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact us within three months of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: <a href="https://www.ico.org.uk">www.ico.org.uk</a>

#### **Annex**

### FOI5749

"I have previously requested information relating to the sex of CF10 and CF 11 holders over the years.

Please can I request an update of this for the last five years – with the addition of columns now for SMF16 and 17 holders.

I would like to know the breakdown of sex by function."

### FOI4462

"Please can I have the below functions split by gender where the applicant have stated Mr, Ms, Mrs and Miss in their application.

How many Control Function 10 Holders are women How many Control Function 11 Holders are men How many Control Function 10 and 11 holders are men How many Control Function 10 and 11 holders are men

Please exclude any individuals who hold any other function excluding; CF1, CF4 which are acceptable.

For ease am happy for you to pick a specific day in 2015, perhaps the last day of the year.

If possible the comparison for the same day in 2014 would be very much appreciated as would 2013 but if the information is onerous to gather 15-14 would be sufficient."