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20 April 2018

Our Ref: **FOI5674**

Dear

Freedom of Information : Right to know request

Thank you for your request under the Freedom of Information Act 2000 (the Act), for the following information:

"1) The total number of whistleblowing reports into the FCA every quarter since Q1 2013.

2a) The number that relate to asset management every quarter since Q4 2013.

2b) The number that relate to financial advice every quarter since Q4 2013."

Your request has now been considered and I can confirm we hold the information you have requested. This information can be found in the table below.

Quarter	Total number of reports	Asset Management	Financial Advice
2013 Q1*	190	-	-
2013 Q2*	161	-	-
2013 Q3*	320	-	-
2013 Q4*	262	1	58
2014 Q1	323	11	64
2014 Q2	346	15	72
2014 Q3	364	8	82
2014 Q4	327	8	61
2015 Q1	302	5	55
2015 Q2	317	7	53
2015 Q3	256	10	56
2015 Q4	230	3	34
2016 Q1	218	11	32
2016 Q2	238	8	38

2016 Q3	208	4	21
2016 Q4	202	7	28
2017 Q1	265	5	34
2017 Q2	233	3	33
2017 Q3	295	10	58
2017 Q4	254	3	49

*Please note that in September 2013 we changed the case management system we used to record whistleblowing disclosures. Prior to September 2013 the system we used did not support the recording of data in a consistent and easily retrievable format. Furthermore, we ran both systems in tandem for short period to ensure the new system was working as required. Therefore, whilst the figures for 2013 are as accurate as we can possibly get them, the possibility exists they are not a completely accurate reflection of the total number of whistleblowing disclosures we received in 2013.

Yours sincerely

Information Disclosure Team